

# Supplemental Statement for United States Securities



**IMPORTANT:** Follow instructions in filling out this form. You should be aware that the making of any false, fictitious, or fraudulent claim or statement to the United States is a crime punishable by fine and/or imprisonment.

**PRINT IN INK OR TYPE ALL INFORMATION**

**NOTE:** For Series EE and Series I savings bonds, we no longer issue substitute bonds in paper form. We issue those substitute bonds in electronic form, in our online system TreasuryDirect. For information on opening an account in TreasuryDirect, go to [www.treasurydirect.gov](http://www.treasurydirect.gov).

1.  The claim reporting the loss, theft, destruction, or nonreceipt of United States Securities applies to the securities described in Item 6.
2.  The claim reporting the loss, theft, destruction, or nonreceipt of United States Securities applies to the securities described in Item 6 rather than the securities described in the original application.
3.  I certify:
  - I had possession, custody, or control of the securities described in Item 6.
  - I have firsthand knowledge of the circumstances under which the securities described in Item 6 were lost, stolen, or destroyed.
  - I had access to the \_\_\_\_\_ described in Item 6.
4.  I have been informed that \_\_\_\_\_ submitted a claim reporting the \_\_\_\_\_ of the United States Securities  
(loss, theft, destruction, or nonreceipt)

described in Item 6. My knowledge of the securities is:

5.  If our office has marked this box, please indicate whether you want electronic **substitute bonds** or **payment**, and provide the requested information. NOTE: This applies only to Series EE or Series I savings bonds.
  - Please issue substitute bond(s) in electronic form into the following TreasuryDirect account.**
  - Account number: \_\_\_\_\_
  - Account name: \_\_\_\_\_
  - Social Security Number or Employer Identification Number: \_\_\_\_\_

**NOTE:** You may add a secondary owner or beneficiary once bonds have been replaced in electronic form within your TreasuryDirect account. For more information, access your account and click on "How do I" at the top of the page to find instructions on how to add a secondary owner or beneficiary.

**TAX LIABILITY:** If the name of a living owner or principal coowner of the bonds is eliminated from the registration, the owner or principal coowner must include the interest earned and previously unreported on the bonds to the date of the transaction on his or her Federal income tax return for the year of the reissue. (Both registrants are considered to be coowners when bonds are registered in the form: "A" or "B.") The principal coowner is the coowner who (1) purchased the bonds with his or her own funds, or (2) received them as a gift, inheritance, or legacy, or as a result of judicial proceedings, and had them reissued in coownership form, provided he or she has received no contribution in money or money's worth for designating the other coowner on the bonds. If the reissue is a reportable event, the interest earned on the bonds to the date of the reissue will be reported to the Internal Revenue Service (IRS) by a Federal Reserve Bank or Branch or the Bureau of the Fiscal Service under the Tax Equity and Fiscal Responsibility Act of 1982. **THE OBLIGATION TO REPORT THE INTEREST CANNOT BE TRANSFERRED TO SOMEONE ELSE THROUGH A REISSUE TRANSACTION.** If you have questions concerning the tax consequences, consult the IRS, or write to the Commissioner of Internal Revenue, Washington, DC 20224. **Unless we are otherwise informed, the first-named coowner will be considered the principal coowner for the purpose of this transaction.**

**Please redeem my bonds and make payment to the financial institution below.** **NOTE:** If payment instructions were previously provided on FS Form 1048, payment will be made according to the instructions on FS Form 1048.

**Payee must provide a Social Security Number or Employer Identification Number:**

Social Security Number of Payee	Employer Identification Number of Payee
(Name[s] on the Bank Account)	
(Depositor's Account Number)	Type of Account: <input type="checkbox"/> Checking <input type="checkbox"/> Savings
Bank Routing Number (nine digits): _____	
(Financial Institution's Name)	(Financial Institution's Phone Number)

**6. Description of Securities**

TITLE OF SECURITY <small>(Identify securities by series, interest rate, type, CUSIP, call and maturity dates, as appropriate)</small>	ISSUE DATE	FACE AMOUNT <small>(Denomination)</small>	SERIAL NUMBER	REGISTRATION <small>(Exactly as shown on the face of each security)</small>

(If you need more space, attach either an FS Form 3500 [see [www.treasurydirect.gov](http://www.treasurydirect.gov)], a plain sheet of paper, or a photocopy of this section.)

**7. Signatures and Certification**

I/We certify that I/we don't have possession or control of any of the securities described in Item 6 and that I/we don't have any further information in regard to where they are or what became of them.

I/We severally petition the Secretary of the Treasury for relief as authorized by law and, if relief is granted, acknowledge that the original securities will become the property of the United States. Upon the granting of relief, I/we assign all our right, title, and interest in the original securities to the United States and hereby bind myself/ourselves, my/our heirs, executors, administrators, successors and assigns, jointly and severally: (1) to surrender the original securities to the Department of the Treasury should they come into my/our possession; (2) to hold the United States harmless on account of any claim by any other parties having, or claiming to have, interests in these securities; and (3) upon demand by the Department of the Treasury, to indemnify unconditionally the United States and repay to the Department of the Treasury all sums of money which the Department may pay on account of the redemption of these original securities, including any interest, administrative costs and penalties, and any other liability or losses incurred as a result of such redemption.

**You must wait until you are in the presence of a certifying officer to sign this form.**

**Sign Here ⇒** \_\_\_\_\_ (Signature) \_\_\_\_\_ (Print Name)

**Home Address** \_\_\_\_\_ (Number and Street or Rural Route) \_\_\_\_\_ (Social Security Number)

\_\_\_\_\_ (City) \_\_\_\_\_ (State) \_\_\_\_\_ (ZIP Code) \_\_\_\_\_ (Daytime Telephone Number)

**E-Mail Address** \_\_\_\_\_

**You must wait until you are in the presence of a certifying officer to sign this form.**

**Sign Here ⇒** \_\_\_\_\_ (Signature) \_\_\_\_\_ (Print Name)

**Home Address** \_\_\_\_\_ (Number and Street or Rural Route) \_\_\_\_\_ (Social Security Number)

\_\_\_\_\_ (City) \_\_\_\_\_ (State) \_\_\_\_\_ (ZIP Code) \_\_\_\_\_ (Daytime Telephone Number)

**E-Mail Address** \_\_\_\_\_

**You must wait until you are in the presence of a certifying officer to sign this form.**

**Sign Here ⇒** \_\_\_\_\_ (Signature) \_\_\_\_\_ (Print Name)

**Home Address** \_\_\_\_\_ (Number and Street or Rural Route) \_\_\_\_\_ (Social Security Number)

\_\_\_\_\_ (City) \_\_\_\_\_ (State) \_\_\_\_\_ (ZIP Code) \_\_\_\_\_ (Daytime Telephone Number)

**E-Mail Address** \_\_\_\_\_

**Instructions to Certifying Officer:** 1. Name of person who appeared and date of appearance **MUST** be completed. 2. Medallion stamps require an original signature. 3. Person must sign in your presence.

I CERTIFY that \_\_\_\_\_, whose identity is known or  
Name of Person Who Appeared

proven to me, personally appeared before me this \_\_\_\_\_ day of \_\_\_\_\_  
Month / Year

at \_\_\_\_\_, and signed this form.  
City, State

(OFFICIAL STAMP  
OR SEAL)

\_\_\_\_\_  
Signature and Title of Certifying Officer

\_\_\_\_\_  
Name of Financial Institution

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State, ZIP Code

\_\_\_\_\_  
Telephone Number

I CERTIFY that \_\_\_\_\_, whose identity is known or  
Name of Person Who Appeared  
 proven to me, personally appeared before me this \_\_\_\_\_ day of \_\_\_\_\_  
Month / Year  
 at \_\_\_\_\_, and signed this form.  
City, State

\_\_\_\_\_  
Signature and Title of Certifying Officer

\_\_\_\_\_  
Name of Financial Institution

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State, ZIP Code

\_\_\_\_\_  
Telephone Number

(OFFICIAL STAMP OR SEAL)

I CERTIFY that \_\_\_\_\_, whose identity is known or  
Name of Person Who Appeared  
 proven to me, personally appeared before me this \_\_\_\_\_ day of \_\_\_\_\_  
Month / Year  
 at \_\_\_\_\_, and signed this form.  
City, State

\_\_\_\_\_  
Signature and Title of Certifying Officer

\_\_\_\_\_  
Name of Financial Institution

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State, ZIP Code

\_\_\_\_\_  
Telephone Number

(OFFICIAL STAMP OR SEAL)

## INSTRUCTIONS

**PURPOSE OF FORM** – Use this form to support an application for relief on account of the loss, theft, destruction, or nonreceipt of United States Securities.

**COMPLETION OF FORM**

- ITEM 1.** Mark this box to show that the securities described in Item 6 are the missing securities referred to on the original application. Describe the missing securities in Item 6.
- ITEM 2.** Mark this box if incorrect serial numbers were provided on the original application. Describe the correct missing securities in Item 6.
- ITEM 3.** Mark the appropriate box(es) to indicate whether you had possession, custody, or control of the securities, firsthand knowledge of the circumstances under which they were lost, stolen, or destroyed, or if you had access to the securities.
- ITEM 4.** Mark this box and complete this item when someone other than the original applicant(s) had custody or knowledge of the loss. Furnish the name(s) of the original applicant(s) and provide all information

concerning your knowledge of the whereabouts of the securities. If you do not have any knowledge of their whereabouts, state this. If the original applicant(s) or any other person claims to have mailed or delivered the securities to you, state whether or not you received them. If you did not receive the securities, explain why, if known (for example, wrong address used).

**ITEM 5.** If our office has marked this box, indicate whether you want electronic substitute bonds or payment. If you want electronic substitute bonds, provide the requested TreasuryDirect account information. If you want payment, provide information about your bank. **NOTE:** If payment instructions were given previously on FS Form 1048, we will pay according to the instructions on FS Form 1048.

**ITEM 6.** Furnish a complete description of the missing securities.

**ITEM 7.** If Item 1 or 2 is checked, the form must be signed by the original applicant(s). If Item 3 or 4 is checked, the form must be signed by the person having custody of the securities or knowledge of their loss. If any person whose signature is required is a minor who does not have a court-appointed guardian, the minor may sign on his or her own behalf for Series HH bonds, if in the opinion of the certifying officer, he or she is of sufficient competency to understand the nature of the transaction. If not, the form must be signed by both parents on the minor's behalf. If you have Series EE or Series I bonds, a minor cannot sign on his or her own behalf. The form must be signed by the parents. If the minor does not reside with either parent, the form must be completed and signed by the person who furnishes the minor's chief support. The minor's age and Social Security Number must be furnished.

Each person whose signature is required must sign the form in ink, print his or her name, and provide his or her home address and daytime telephone number. Each signature must be certified.

**CERTIFICATION** – Each person whose signature is required must appear before and establish identification to the satisfaction of an authorized certifying officer. The signatures to the form must be signed in the officer's presence. The certifying officer must affix the seal or stamp which is used when certifying requests for payment. Authorized certifying officers are available at financial institutions, including credit unions, in the United States. Certification by a notary isn't acceptable. Examples of acceptable seals and stamps:

- The financial institution's official seal or stamp, including: Signature Guaranteed seal or stamp; Endorsement Guaranteed seal or stamp; Corporate seal or stamp (a corporate resolution isn't required); or Issuing or paying agent seal or stamp (including name, location, and four-digit identification number or nine-digit routing number)
- The seal or stamp of Treasury-recognized Signature Guarantee Programs or other Treasury-approved Medallion Programs

**WHERE TO SEND** – Send this form (without instruction pages) and any additional information to the appropriate address:

- HH and H savings bonds – Treasury Retail Securities Site, PO Box 2186, Minneapolis, MN 55480-2186
- Other paper savings bonds – Treasury Retail Securities Site, PO Box 214, Minneapolis, MN 55480-0214
- Securities in TreasuryDirect – Treasury Retail Securities Site, PO Box 7015, Minneapolis, MN 55480-7015
- Securities in Legacy Treasury Direct – Treasury Retail Securities Site, PO Box 9150, Minneapolis, MN 55480-9150
- Paper marketable securities – Bureau of the Fiscal Service, PO Box 426, Parkersburg, WV 26106-0426

### **NOTICE UNDER THE PRIVACY AND PAPERWORK REDUCTION ACTS**

The collection of the information you are requested to provide on this form is authorized by 31 U.S.C. CH. 31 relating to the public debt of the United States. The furnishing of a Social Security Number, if requested, is also required by Section 6109 of the Internal Revenue Code (26 U.S.C. 6109).

The purpose of requesting the information is to enable the Bureau of the Fiscal Service and its agents to issue securities, process transactions, make payments, identify owners and their accounts, and provide reports to the Internal Revenue Service. Furnishing the information is voluntary; however, without the information, the Fiscal Service may be unable to process transactions.

Information concerning securities holdings and transactions is considered confidential under Treasury regulations (31 CFR, Part 323) and the Privacy Act. This information may be disclosed to a law enforcement agency for investigation purposes; courts and counsel for litigation purposes; others entitled to distribution or payment; agents and contractors to administer the public debt; agencies or entities for debt collection or to obtain current addresses for payment; agencies through approved computer matches; Congressional offices in response to an inquiry by the individual to whom the record pertains; as otherwise authorized by law or regulation.

We estimate it will take you about 05 minutes to complete this form. However, you are not required to provide information requested unless a valid OMB control number is displayed on the form. Any comments or suggestions regarding this form should be sent to the Bureau of the Fiscal Service, Forms Management Officer, Parkersburg, WV 26106-1328. **DO NOT SEND completed form to the above address; send to the correct address shown in "WHERE TO SEND" above.**