

Supplemental Statement for United States Securities



IMPORTANT: Follow instructions in filling out this form. Making any false, fictitious, or fraudulent claim or statement to the United States is a crime and may be prosecuted. Print in ink or type all information.

NOTE: For Series EE and Series I savings bonds, we no longer issue substitute bonds in paper form. We issue those substitute bonds in electronic form, in our online system TreasuryDirect. For information on opening an account in TreasuryDirect, go to www.treasurydirect.gov.

1. The claim reporting the loss, theft, destruction, or nonreceipt of United States Securities applies to the securities described in Item 5.

2. The claim reporting the loss, theft, destruction, or nonreceipt of United States Securities applies to the securities described in Item 5 rather than the securities described in the original application.

3. I certify:

I had possession, custody, or control of the securities described in Item 5.

I have firsthand knowledge of the circumstances under which the securities described in Item 5 were lost, stolen, or destroyed.

I had access to the _____ described in Item 5.
(security or bearer security)

4. I have been informed that _____ submitted a claim

reporting the _____ of the United States Securities described in Item 5. My knowledge of the security(ies) is:
(loss, theft, destruction, or nonreceipt)

5. Description of Securities

TITLE OF SECURITY (Identify securities by series, interest rate, type, CUSIP, call and maturity dates, as appropriate)	ISSUE DATE	FACE AMOUNT (Denomination)	SERIAL NUMBER	REGISTRATION (Exactly as shown on the face of each security)

(If you need more space, attach either a list or FS Form 3500 (see www.treasurydirect.gov/forms/sav3500.pdf))

6. If our office has marked this box, please indicate whether you want electronic substitute bonds or payment, and provide the requested information. NOTE: This applies only to Series EE or Series I savings bonds.

Please redeem my bonds and make payment to the financial institution below.

Payee must provide a Social Security Number or Employer Identification Number:

_____ OR _____
(Social Security Number of Payee) (Employer Identification Number of Payee)

(Name/Names on the Account)

Bank Routing No. (nine digits and begins with 0, 1, 2, or 3): _____

_____ Type of Account Checking Savings
(Depositor's Account No.)

_____ (Financial Institution's Name) _____ (Financial Institution's Phone No.)

Please issue substitute bonds in electronic form into the following TreasuryDirect account.

Account number: _____

Account name: _____

Social Security Number or Employer Identification Number: _____

NOTE: You may add a secondary owner or beneficiary once bonds have been replaced in electronic form within your TreasuryDirect account. For more information, access your account and click on "How do I" at the top of the page to find instructions on how to add a secondary owner or beneficiary.

TAX LIABILITY: If the name of a living owner or principal coowner of the bonds is eliminated from the registration, the owner or principal coowner must include the interest earned and previously unreported on the bonds to the date of the transaction on his or her Federal income tax return for the year of the reissue. (Both registrants are considered to be coowners when bonds are registered in the form: "A" or "B.") The principal coowner is the coowner who (1) purchased the bonds with his or her own funds, or (2) received them as a gift, inheritance, or legacy, or as a result of judicial proceedings, and had them reissued in coownership form, provided he or she has received no contribution in money or money's worth for designating the other coowner on the bonds. If the reissue is a reportable event, the interest earned on the bonds to the date of the reissue will be reported to the Internal Revenue Service (IRS) by a Federal Reserve Bank or Branch or the Bureau of the Fiscal Service under the Tax Equity and Fiscal Responsibility Act of 1982. THE OBLIGATION TO REPORT THE INTEREST CANNOT BE TRANSFERRED TO SOMEONE ELSE THROUGH A REISSUE TRANSACTION. If you have questions concerning the tax consequences, consult the IRS, or write to the Commissioner of Internal Revenue, Washington, DC 20224. **Unless we are otherwise informed, the first-named coowner will be considered the principal coowner for the purpose of this transaction.**

7. Signatures and Certification

I/We certify that I/we don't have possession or control of any of the securities described in Item 5 and that I/we don't have any further information in regard to where they are or what became of them.

I/We severally petition the Secretary of the Treasury for relief as authorized by law and, if relief is granted, acknowledge that the original securities will become the property of the United States. Upon the granting of relief, I/we assign all our right, title, and interest in the original securities to the United States and hereby bind myself/ourselves, my/our heirs, executors, administrators, successors and assigns, jointly and severally: (1) to surrender the original securities to the Department of the Treasury should they come into my/our possession; (2) to hold the United States harmless on account of any claim by any other parties having, or claiming to have, interests in these securities; and (3) upon demand by the Department of the Treasury, to indemnify unconditionally the United States and repay to the Department of the Treasury all sums of money which the Department may pay on account of the redemption of these original securities, including any interest, administrative costs and penalties, and any other liability or losses incurred as a result of such redemption.

Sign in ink in the presence of a notary or certifying officer and provide the requested information.

Sign Here: _____

_____ (Print Name) _____ (Social Security Number)

Home Address _____ (Number and Street or Rural Route) _____ (Daytime Telephone Number)

_____ (City) _____ (State) _____ (ZIP Code) _____ (Email Address)

Sign Here: _____

_____ (Print Name) _____ (Social Security Number)

Home Address _____ (Number and Street or Rural Route) _____ (Daytime Telephone Number)

_____ (City) _____ (State) _____ (ZIP Code) _____ (Email Address)

Sign Here: _____

_____ (Print Name) _____ (Social Security Number)

Home Address _____ (Number and Street or Rural Route) _____ (Daytime Telephone Number)

_____ (City) _____ (State) _____ (ZIP Code) _____ (Email Address)

Instructions to Notary or Certifying Officer: 1. Name of the person(s) who appeared and date of appearance **MUST** be completed. 2. If a Medallion stamp is used, an original signature is required. 3. Person(s) must sign in your presence.

I CERTIFY that _____ whose identity(ies)
Name of Person Who Appeared

is/are known or proven to me, personally appeared before me this _____ day of _____
(Month) (Year)

at _____ and signed this form.
(City, State)

(Signature and Title of Notary or Certifying Officer)

(Name of Financial Institution)

(Address)

(City, State, ZIP code)

(Telephone)

(OFFICIAL STAMP OR SEAL)

SEE INSTRUCTIONS FOR ACCEPTABLE CERTIFICATION

I CERTIFY that _____ whose identity(ies)
Name of Person Who Appeared

is/are known or proven to me, personally appeared before me this _____ day of _____
(Month) (Year)

at _____ and signed this form.
(City, State)

(Signature and Title of Notary or Certifying Officer)

(Name of Financial Institution)

(Address)

(City, State, ZIP code)

(Telephone)

(OFFICIAL STAMP OR SEAL)

SEE INSTRUCTIONS FOR ACCEPTABLE CERTIFICATION

I CERTIFY that _____ whose identity(ies)
Name of Person Who Appeared

is/are known or proven to me, personally appeared before me this _____ day of _____
(Month) (Year)

at _____ and signed this form.
(City, State)

(Signature and Title of Notary or Certifying Officer)

(Name of Financial Institution)

(Address)

(City, State, ZIP code)

(Telephone)

(OFFICIAL STAMP OR SEAL)

SEE INSTRUCTIONS FOR ACCEPTABLE CERTIFICATION

INSTRUCTIONS

PURPOSE OF FORM – Use this form to support an application for relief on account of the loss, theft, destruction, or nonreceipt of United States Securities.

COMPLETION OF FORM

- ITEM 1.** Mark this box to show that the securities described in Item 5 are the missing securities referred to on the original application. Describe the missing securities in Item 5.
- ITEM 2.** Mark this box if incorrect serial numbers were provided on the original application. Describe the correct missing securities in Item 5.
- ITEM 3.** Mark the appropriate box(es) to indicate whether you had possession, custody, or control of the securities, firsthand knowledge of the circumstances under which they were lost, stolen, or destroyed, or if you had access to the securities.
- ITEM 4.** Mark this box and complete this item when someone other than the original applicant(s) had custody or knowledge of the loss. Furnish the name(s) of the original applicant(s) and provide all information concerning your knowledge of the whereabouts of the securities. If you do not have any knowledge of their whereabouts, state this. If the original applicant(s) or any other person claims to have mailed or delivered the securities to you, state whether or not you received them. If you did not receive the securities, explain why, if known (for example, wrong address used).

- ITEM 5.** Furnish a complete description of the missing securities.
- ITEM 6.** If our office has marked this box, indicate whether you want payment or electronic substitute bonds. If you want payment, provide information about your bank. If you want electronic substitute bonds, provide the requested TreasuryDirect account information.
- ITEM 7.** If Item 1 or 2 is checked, the form must be signed by the original applicant(s). If Item 3 or 4 is checked, the form must be signed by the person having custody of the securities or knowledge of their loss. If any person whose signature is required is a minor who does not have a court-appointed guardian, the minor may sign on his or her own behalf for Series HH bonds, if in the opinion of the notary or certifying officer, he or she is of sufficient competency to understand the nature of the transaction. If not, the form must be signed by both parents on the minor's behalf. If you have Series EE or Series I bonds, a minor cannot sign on his or her own behalf. The form must be signed by the parents. If the minor does not reside with either parent, the form must be completed and signed by the person who furnishes the minor's chief support. The minor's age and Social Security Number must be furnished.

CERTIFICATION – Each person whose signature is required must appear before and establish identification to the satisfaction of a notary or authorized certifying officer. The signatures to the form must be signed in the presence of the notary or officer. The notary or certifying officer must affix the seal or stamp which is used when certifying requests for payment. Authorized certifying officers are available at financial institutions, including credit unions, in the United States. Examples of acceptable seals and stamps:

- The seal or stamp of a notary
- A financial institution's official seal or stamp, including: Signature Guaranteed seal or stamp; Endorsement Guaranteed seal or stamp; Corporate seal or stamp (a corporate resolution isn't required); or Issuing or paying agent seal or stamp (including name, location, and four-digit identification number or nine-digit routing number)
- The seal or stamp of Treasury-recognized Signature Guarantee Programs or other Treasury-approved Medallion Programs

WHERE TO SEND – Send this form (without instruction pages) and any additional information to this address:

Treasury Retail Securities Services, P.O. Box 9150, Minneapolis, MN 55480-9150

NOTICE UNDER THE PRIVACY AND PAPERWORK REDUCTION ACTS

The collection of the information you are requested to provide on this form is authorized by 31 U.S.C. CH. 31 relating to the public debt of the United States. The furnishing of a Social Security Number, if requested, is also required by Section 6109 of the Internal Revenue Code (26 U.S.C. 6109).

The purpose of requesting the information is to enable the Bureau of the Fiscal Service and its agents to issue securities, process transactions, make payments, identify owners and their accounts, and provide reports to the Internal Revenue Service. Furnishing the information is voluntary; however, without the information, the Fiscal Service may be unable to process transactions.

Information concerning securities holdings and transactions is considered confidential under Treasury regulations (31 CFR, Part 323) and the Privacy Act. This information may be disclosed to a law enforcement agency for investigation purposes; courts and counsel for litigation purposes; others entitled to distribution or payment; agents and contractors to administer the public debt; agencies or entities for debt collection or to obtain current addresses for payment; agencies through approved computer matches; Congressional offices in response to an inquiry by the individual to whom the record pertains; as otherwise authorized by law or regulation.

We estimate it will take you about 15 minutes to complete this form. However, you are not required to provide information requested unless a valid OMB control number is displayed on the form. Any comments or suggestions regarding this form should be sent to the Bureau of the Fiscal Service, Forms Management Officer, Parkersburg, WV 26106-1328. **DO NOT SEND completed form to the above address; send to the address shown in "WHERE TO SEND" above.**